

50

JCWSCS 2 1 APR 2004

04/21/2004 09:59 FAX 1 206 682 6031

SEED IP LAW GROUP PLLC

001

Seed<sup>IP</sup>

**Facsimile Transmission**

April 21, 2004

**To:** Filing Receipt Corrections, OIPE, Commissioner for Patents

**Fax No:** (703) 746-9195

**Phone No:**

**Re:** PREVENTION OF MEMBRANE CONTAMINATION IN  
ELECTROCHEMICAL FUEL CELLS

**Your Ref:** USAN 10/693,672 filed October 23, 2003

**Seed IP Ref:** 130109.507

**No. of Pages:** 6 (including this page)

If you do not receive all pages, please call Lola Kemp at (206) 622-4900 or fax our office.

address 701 Fifth Avenue  
Suite 6300  
Seattle, WA 98104  
telephone 206.622.4900  
facsimile 206.682.6031  
website SeedIP.com

☐ Urgent

☐ For Review

☐ Please Confirm  
Receipt

☐ Please Reply  
ASAP

**Comments:**

Please see attached Request to Correct Filing Receipt.

**Transmission Information:**

**Date:**

**Time:**

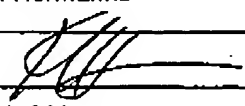
**By:**


**CONFIDENTIALITY NOTICE:**

The information contained in this facsimile message is legally privileged and/or confidential information intended only for the use of the addressee named above. If you are not the intended recipient, you are hereby notified that any use, dissemination, distribution, or copying of this facsimile or its content is strictly prohibited. If you have received this facsimile in error, please immediately notify us by telephone and return the original facsimile message to us by mail or destroy it without making a copy. Thank you.

<b>TRANSMITTAL FORM</b>  <i>(To be used for all correspondence after initial filing)</i>	Application Number	10/693,672
	Filing Date	October 23, 2003
	First Named Inventor	Gregory A. James
	Art Unit	1745
	Examiner Name	
	Attorney Docket No.	130109.507

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Drawing(s) <input checked="" type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> ) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Additional Enclosure(s) ( <i>please identify below</i> ): <u>Copy of Filing Receipt with Correction Noted</u>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Individual Name	Karl R. Hermanns	Customer Number 00500
Signature		
Date	April 21, 2004	

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO at facsimile no. (703) 746-9195, addressed to: Filing Receipt Corrections, Office of Initial Patent Examination, Commissioner for Patents, Washington, DC 20231, on the date shown below.		
Typed or printed name	Lola H. Kemp	
Signature		Date: April 21, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

475123\_1.DOC

## PATENT

I hereby certify that on the date specified below, this correspondence is being sent via facsimile transmission to Filing Receipt Corrections, Office of Initial Patent Examination, Commissioner for Patents, Washington, DC 20231, facsimile no. (703) 746-9195.

April 21, 2004  
Date

Lola Kemp  
Lola H. Kemp

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Gregory A. James et al.  
Application No. : 10/693,672  
Filed : October 23, 2003  
For : PREVENTION OF MEMBRANE CONTAMINATION IN  
ELECTROCHEMICAL FUEL CELLS

Art Unit : 1745  
Docket No. : 130109.507  
Date : April 21, 2004

Via Facsimile: 703-746-9195  
Filing Receipt Corrections  
Office of Initial Patent Examination  
Commissioner for Patents  
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents:

Attached is a copy of the official Filing Receipt received from the PTO in the above-identified application, for which issuance of a corrected Filing Receipt is respectfully requested.


There is an error with respect to the following data, which is incorrectly entered.  
There is an error in the title of the application, which should read as follows:

PREVENTION OF MEMBRANE CONTAMINATION IN ELECTROCHEMICAL FUEL CELLS

Application No. 10/693,672

The correction to be made has been marked on the enclosed copy of the Filing Receipt.

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC

  
\_\_\_\_\_  
Karl R. Hermanns  
Registration No. 33,507

KRH:lhk  
Enclosures:

Copy of Filing Receipt with correction noted

701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900  
Fax: (206) 682-6031

D:\130109.507\475124\_1.DOC

**COPY**

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22315-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/693,672	10/23/2003	1745	1152	130109.507	5	34	3

00500

SEED INTELLECTUAL PROPERTY LAW GROUP PLLC  
 701 FIFTH AVE  
 SUITE 6300  
 SEATTLE, WA 98104-7092

**RECEIVED****APR 12 2004**

SEED INTELLECTUAL PROPERTY  
 LAW GROUP PLLC

CONFIRMATION NO. 9651

UPDATED FILING RECEIPT

\*OC000000012315670\*

Date Mailed: 04/08/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Gregory A. James, Coquitlam, CANADA;  
 Sean M. MacKinnon, Burnaby, CANADA;  
 Duarte R. Sousa, White Rock, CANADA;  
 David A. Summers, Vancouver, CANADA;  
 Warren M. Williams, North Vancouver, CANADA;  
 Julie Bellerive, Burnaby, CANADA;  
 Myles L. Bos, Burnaby, CANADA;  
 Robert B. P. Rempel, Vancouver, CANADA;  
 Simon Farrington, Vancouver, CANADA;  
 Scott J. McDermid, Vancouver, CANADA;

**Assignment For Published Patent Application**

Ballard Power Systems Inc., Burnaby, CANADA;

**Domestic Priority data as claimed by applicant****Foreign Applications**

If Required, Foreign Filing License Granted: 01/23/2004

Projected Publication Date: 04/28/2005 ✓

Non-Publication Request: No

Early Publication Request: No

Title

Prevention of membrane contamination in electrochemical fuel cells

Preliminary Class

429

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).